

Connecticut Department of Transportation

Contractor/Vendor Profile Sheet

Please submit completed form to:

Debra Goss
Connecticut Department of Transportation
Division of Contract Compliance
P.O. Box 317546
Newington, Connecticut 06131-7546

Telephone: (860) 594-2169

Fax: (860) 594-3016

Confidential Information Notice

To the extent permitted by law, the information that you provide will be held in confidence and will not be shared with other firms without your prior written consent.

A. Firm Name: _____

Federal EIN or SSN Number: _____

Primary/Principal Business Address

Street _____

City _____

State _____

Zip Code _____

Mailing Address if different than above

Address (Line 1) _____

Address (Line 2) _____

City _____

State _____

Zip Code _____

Please provide the following information for the contact person for this survey

Name _____

Phone Number _____

Fax Number _____

E-Mail _____

Does your firm have a Web Site? YES ____ or NO ____

If "Yes," what is the URL address? _____

- B. Is your firm registered with the Connecticut Secretary of the State? YES ____ or NO ____
Is your firm certified as a Disadvantaged Business Enterprise ("DBE")? YES ____ or NO ____
If "Yes," by what agency is your firm certified? _____
If "Yes" please list each State that you are certified in: _____

Is your firm certified by the U.S. Small Business Administration as an

8(a) Business Development ("8(a) BD")? YES ____ or NO ____

or

Small Disadvantaged Business ("SDB") ? YES ____ or NO ____

Is your firm certified as a small, woman, minority or disabled owned Business by the Connecticut Department of Administrative Services (DAS)? YES ____ or NO ____

If "Yes," are you a Small Business __; Minority Owned Business __; or a Woman Owned Business __

In what year did your business start under the current name? _____ (year)

C. Gross Annual Receipts

To maintain the eligibility of the CTDOT to receive certain Federal funds, the CTDOT is required by the USDOT to keep on file information regarding the annual gross receipts of firms bidding on prime contracts and/or bidding or quoting subcontracts at any tier.

Under the State's Freedom of Information Act, Connecticut General Statutes Chapter 14, Sections 1-200 through 1-241, this information may be subject to disclosure unless it qualifies as a trade secret under Section 1-210(b)(5), which exempts from a disclosure "commercial or financial information given in confidence, not required by statute." Therefore, please answer the following questions concerning your firm's annual gross receipts:

1. Do you consider this information to be sensitive commercial or financial information? YES ____ or No ____

2. Are you submitting this information in confidence? YES ____ or No ____

3. In what category is your firms annual gross receipts?

- ____ Less than \$1 Million
____ More than \$1 Million and Less than \$5 Million
____ More than \$5 Million and Less than \$10 Million
____ More than \$10 Million and Less than \$15 Million
____ More than \$15 Million and Less than \$20 Million
____ More than \$20 Million

Please answer the following questions about your firm's business activities:

1. Please enter the NAICS code reported on your firm's most current Federal Tax return and any other NAICS codes that best describe your business activities. www.census.gov/epcd/www/naics.html
(required information)
-

2. Within the last year has your firm quoted work or given notice of interest for a local agency (city, town or other political subdivision of the State of Connecticut) construction project whether successful or not? (This can include subcontracting, trucking, bonding services, material quotes and/or professional services regardless of tier) YES ____ or NO ____

3. Within the last two years has your firm quoted work or given notice of interest to CDOT or a Prime Contractor or Consultant for a CDOT construction project or professional services agreement whether successful or not? This can include subcontracting, trucking, bonding services, material quotes and/or professional services regardless of tier?

If your answer is "No," skip to question #5. YES ____ or NO ____

4. If your answer to question #3 is "Yes," please answer the following:
- a. Approximately how many times in the past year has your firm quoted work, materials, products or services for a CDOT project or professional services agreement at any level whether successful or not?

- b. You have quoted work to CDOT as? Check all that apply:

Prime - You submit bids, proposals and/or RFQs directly to CDOT

1st Tier – You are a subcontractor, consultant; and/or supplier to a Prime

Lower Tier – You are a subcontractor; consultant; and or supplier to a subcontractor

A Contractor:	Prime ____	1 st Tier ____	Lower Tier ____
A Consultant:	Prime ____	1 st Tier ____	Lower Tier ____
A Supplier:	Prime ____	1 st Tier ____	Lower Tier ____
A Manufacturer:	Prime ____	1 st Tier ____	Lower Tier ____
Trucking Firm:	Prime ____	1 st Tier ____	Lower Tier ____
A Broker:	Prime ____	1 st Tier ____	Lower Tier ____
Other (Describe):	_____		

5. In which area(s) does your firm actively bid to perform work or provide materials, products or services on CDOT projects?

Construction _____

Maintenance _____

Procurement _____

Professional and/or Consulting Services _____

Other (Describe): _____

6. Please identify any firm that provides quotes to your company when your firm bids on CDOT construction projects or professional services agreements.

Firm Name: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Phone No.: _____

Fax No.: _____

Firm Name: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Phone No.: _____

Fax No.: _____

Firm Name: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Phone No.: _____

Fax No.: _____

Firm Name: _____

Business Address: _____

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Firm Name: _____

Business Address: _____

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Use additional Pages if required.

